

Communication Issues in Collective Decision-Making

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Motivation

Communication
Complexity

Voting

Two-sided
matchingDistributed
resource
allocation

Recall before lunch we mentioned three collective-decision making problems

1. voting
2. two-sided matching
3. resource allocation

Now I would like to investigate (a little bit) the communication requirements of these problems...

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- 2 Basics of communication complexity
- 3 Voting
- 4 Two-sided matching
- 5 Distributed resource allocation

Consider the following situation:

*There are two agents (A and B); and one object to allocate.
Each agent x has a valuation $v_x \in \{0, 1, 2, 3\}$ for the object.
The goal is to give the object to the agent who values it the most.*

Can we design efficient protocols to achieve this goal?

I. Segal. *Communication in Economic Mechanisms*. CES-2006.

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Can we design efficient protocols to achieve this goal?

Protocol π_0 : "One-sided Revelation"

A gives her valuation

B computes the allocation, and send it

bits

2

1

total \Rightarrow 3

Consider the following situation:

*There are two agents (A and B); and one object to allocate.
Each agent x has a valuation $v_x \in \{0, 1, 2, 3\}$ for the object.
The goal is to give the object to the agent who values it the most.*

Can we design efficient protocols to achieve this goal?

Protocol π_1 : “English Auction”

bits

$p \leftarrow 0, X \leftarrow A$

while stop:

$X \leftarrow \bar{X}$

ask X “stop” or “raise”

1

$p \leftarrow p + 1$

allocate to \bar{X}

total \Rightarrow 1, 2, or 3

Consider the following situation:

*There are two agents (A and B); and one object to allocate.
Each agent x has a valuation $v_x \in \{0, 1, 2, 3\}$ for the object.
The goal is to give the object to the agent who values it the most.*

Can we design efficient protocols to achieve this goal?

Protocol π_2 : "High/Low Bisection"

A says whether her valuation $\{0, 1\}$ (low) or $\{2, 3\}$ (high)

B computes the allocation

(if low (if $v_B = 0$ then give to A else give to B))

(if high (if $v_B = 3$ then give to B else give to A))

and send it

bits

1

1

total \Rightarrow 2

There are n agents and p candidates. Each agent x has a ranking \succ_x of the candidates. We give p points to the first candidate, $p - 1$ for the second, and so on. The goal is to select the candidate who maximizes the number of points.

- ▶ a naive protocol:
 1. each agent reports his own vote to the center ($n \log p!$ bits)
 2. the center sends back the result (name of the winner) ($n \log p$ bits)
- ▶ this is actually a universal protocol for any voting rule!
- ▶ for specific rules we may design more clever protocols

Conitzer & Sandholm. *Communication Complexity of Common Voting Rules*. EC-05.

if there exists a candidate c ranked first by a majority of votes
then c wins
else Repeat

 let d be the candidate ranked first by the fewest voters;
 eliminate d from all ballots

 {votes for d transferred to the next best remaining candidate};

Until there exists a candidate c ranked first by a majority of votes

3	4	3	2
a	b	c	d
d	d	d	c
b	a	a	b
c	c	b	a

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3	4	3	2	3	4	3	2
a	b	c	d	a	b	c	c
d	d	d	c	b	a	a	b
b	a	a	b	c	c	b	a
c	c	b	a				

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Until there exists a candidate c ranked first by a majority of votes

3	4	3	2	3	4	3	2	7	5
a	b	c	d	a	b	c	c	b	c
d	d	d	c	b	a	a	b	c	b
b	a	a	b	c	c	b	a		
c	c	b	a						

Winner: b

A slightly more involved protocol...

step 1 voters send their most preferred candidate to the central authority (C)
 $\Rightarrow n \log p$ bits

step 2 let x be the candidate to be eliminated. All voters who had x ranked first receive a message from C asking them to send the name of their next preferred candidate. There were at most $\frac{n}{p}$ such voters
 $\Rightarrow \frac{n}{p} \log p$ bits

step 3 similarly with the new candidate y to be eliminated. At most $\frac{n}{p-1}$ voters voted for y
 $\Rightarrow \frac{n}{p-1} \log p$ bits
etc.

$$\text{total} \leq n \log p \left(1 + \frac{1}{p} + \frac{1}{p-1} + \dots + \frac{1}{2}\right) = O(n \cdot (\log p)^2).$$

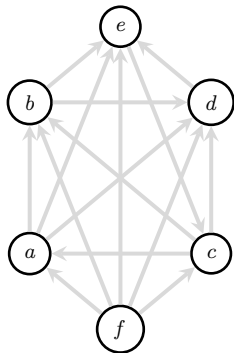
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Now consider the slightly different **query complexity** model.

- ▶ A (di)graph is unknown to start with, and want to check whether some property holds in the graph by probing the fewest possible edges (= **queries**)
- ▶ How many (pairwise comparison) queries are necessary to check whether there is a Condorcet winner in a tournament?
- ▶ Note that to query one edge we may need to ask n agents
- ▶ Of course $p(p - 1)/2$ are sufficient. Can we do better?

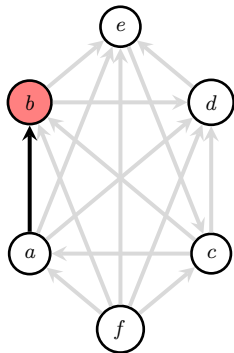
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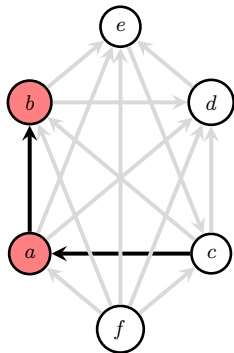
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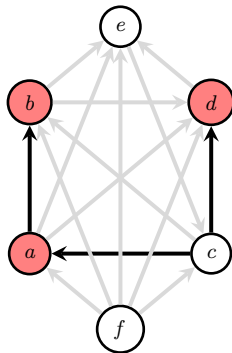
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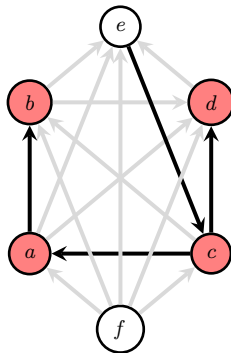
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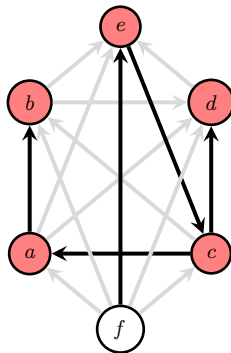
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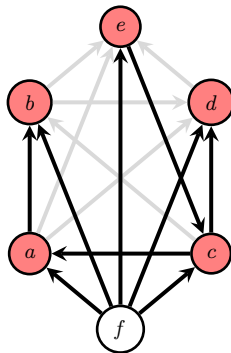
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- ▶ start with an arbitrary query between two candidates
- ▶ mark the loser as discarded
- ▶ repeat $p - 2$ times:
 - take the winner of the previous query, query against a non-discarded candidate, mark the loser as discarded
 - note: each pairwise comparison discards exactly 1 new candidate
- ▶ after $p - 1$ questions we either know that there is no Condorcet winner, or there is a unique potential Condorcet winner
- ▶ then we need to check that this candidate beats all the remaining $p - 2$ ones
- ▶ this protocol requires $2p - 3$ queries

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- ▶ this protocol requires $2p - 3$ queries

Can we do better than this?

1. build an almost complete *binary tree*, where leaves are labelled as candidates
2. repeat until the root is labelled
 - query about two leaves
 - label the father with the winner
 - cut the children
3. query about the candidate labelling the root (r) against all candidates not

How many queries?

1. build an almost complete *binary tree*, where leaves are labelled as candidates
2. repeat until the root is labelled
 - query about two leaves
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How many queries?

Step 2 takes $p - 1$ queries.

Furthermore, r must have beaten at least $\lfloor \log_2(p) \rfloor$ during step 2.

Therefore there are $p - 1 - \lfloor \log_2(p) \rfloor$ during step 3.

The protocol requires at most $2p - \log_2(p) - 2$ queries.

A. Procaccia. *A note on the query complexity of the Condorcet winner problem*. Information Processing Letters 108(6), 2008.

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- ▶ N agents, \mathcal{O} alternatives (may be candidates, allocations, ...)
- ▶ important to know the amount of information that needs to be exchanged to compute the outcome, but it may be difficult to design the most efficient protocols
- ▶ no concern regarding the computational task faced by agents
- ▶ can we say more than analyzing concrete protocols?

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Basic communication complexity setting

A set of n agents have to compute a function $f(x^1, \dots, x^n)$ given that the input is distributed among the agents (x^1 privately known from agent 1, etc.)

- ▶ **protocols**: specify a communication action by the agents, given its (private) input and the bits exchanged so far
- ▶ useful **tree representation** where each node is labelled by either agent a or agent b (case of two agents), with a function specifying whether to walk left (L) or right (R) depending on its private input.

Kushilevitz & Nisan. *Communication complexity*. Cambridge Univ. Press, 1997.

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	y_0	y_1	y_2	y_3
x_0	0	0	0	1
x_1	0	0	0	0
x_2	0	0	0	0
x_3	1	1	1	0

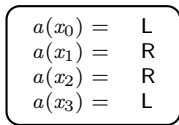
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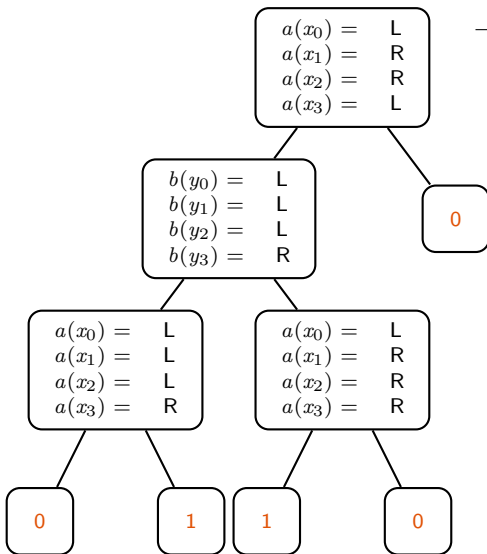
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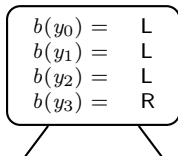
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x_2	0	0	0	0
x_3	1	1	1	0

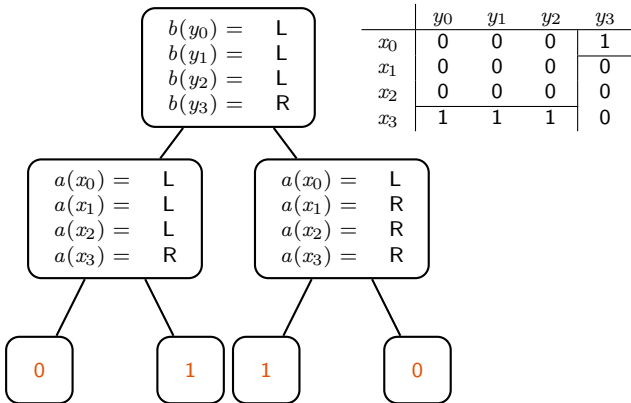
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- ▶ the **cost of a protocol** is the number of bits exchanged (in the worst case), *i.e.* the height of the tree.
⇒ on our example, the “best” cost is the second one (cost 2 vs. 3 for the first one)
- ▶ other models (e.g. average) are of course possible
- ▶ the **communication complexity** of a function f is the minimum cost of \mathcal{P} among all protocols \mathcal{P} that compute f .

Observe that the protocols, as described, in fact partition the matrix of inputs into **monochromatic** (same output) rectangles

	y_0	y_1	y_2	y_3
x_0	0	0	0	1
x_1	0	0	0	0
x_2	0	0	0	0
x_3	1	1	1	0

 \Rightarrow 5 monochromatic rectangles

- ▶ the number of leaves is the number of rectangles in the partition
- ▶ the cost of any protocol for a function is at least \log of the minimum number of rectangles

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⇒ 5 monochromatic rectangles

- ▶ the number of leaves is the number of rectangles in the partition
- ▶ the cost of any protocol for a function is at least \log of the minimum number of rectangles

Back to our first example...

	0	1	2	3
0	B	B	B	B
1	A	B	B	B
2	A	A	B	B
3	A	A	A	B

	0	1	2	3
0	A	B	B	B
1	A	B	B	B
2	A	A	A	B
3	A	A	A	B

	0	1	2	3
0	A	B	B	B
1	A	B	B	B
2	A	A	A	B
3	A	A	A	B

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- ▶ an omniscient agent knows the inputs (thus the outcome) and needs to convince all agents that this is the correct outcome
- ▶ it sends a message (a “proof”) that each agent can accept or refuse
- ▶ a proof of $f(x, y) = z$ is the id of a monochromatic rectangle containing (x, y) that agents can check
- ▶ a proof system is a cover (instead of a partition) of the matrix by monochromatic rectangles
- ▶ lower bounds on non-deterministic protocols hold for the deterministic ones

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Maybe a super-wise friend can come up with a nice protocol...
How can we find lower bounds on the communication complexity?

- ▶ one of them is the **fooling set** technique (from TCS)
- ▶ another one is the **budget protocol** technique (from economics)

Note: These techniques actually yields lower bounds on non-deterministic protocols

- ▶ if we find a large number of inputs such that no two of them can be in the same rectangle, the number of rectangles must be large as well.
- ▶ when two input pairs (x_1, y_1) and (x_2, y_2) are in the same monochromatic rectangle, so do (x_1, y_2) and (x_2, y_1)

$$\begin{array}{c|c} 0 & ? \\ \hline ? & 0 \end{array}$$

- ▶ **fooling set**: a collection of inputs such that none of them can be in the same monochromatic rectangle with another one
- ▶ Key result (Yao,1979): CC is at least $\log(\#\text{fooling set})$

	0	1	2	3
0	B	B	B	B
1	A	B	B	B
2	A	A	B	B
3	A	A	A	B

- ▶ extends the idea that an optimal allocation can be justified by price equilibrium
- ▶ a **budget equilibrium message** is vector $\langle x, B_1, \dots, B_n \rangle$ where
 - x is the proposed alternative
 - $B_i \subset X$ is a budget set proposed to i
- ▶ not all social choice problems can be analyzed with this technique (property of “intersection-monotonicity”)
- ▶ has been used to show lower bounds for combinatorial auctions problems, matching problems, and many others...

I. Segal. *The communication requirements of social and supporting budget sets.* JET-2006.

N. Nisan & I. Segal. *The communication requirements of efficient allocations and supporting prices.* JET-2005.

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In our context, we have:

- ▶ f is the voting rule
- ▶ x_i is the ballot of voter i
- ▶ we are interested in a distinguished candidate a , so f returns 1 if a wins, and 0 otherwise

A fooling set is then a set of profiles P_i such that :

1. there exists a candidate c such that $r(P^i) = c$
2. for any pair (i, j) ($i \neq j$), there exists $(m_1, m_2, \dots, m_n) \in \{i, j\}^n$ such that $r(v_1^{m_1}, v_2^{m_2}, \dots, v_n^{m_n}) \neq c$

↪ we can “mix” the profiles by picking votes either in P^i or P^j and fool the function

V. Conitzer & T. Sandholm. *The communication complexity of common voting rules.* EC-05.

Example: Lower bound for the Borda rule

[Conitzer & Sandholm, EC05]

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We note $p' = p - 2$ and $n' = (n - 2)/4$, π an arbitrary permutation of candidates $\mathcal{X} \setminus \{a, b\}$ and $\bar{\pi}$ the "mirror" permutation.

1	2	3	4	...	$n - 1$	n
a	a	$\bar{\pi}$	$\bar{\pi}$...	a	$\bar{\pi}$
b	b	\vdots	\vdots		b	\vdots
π	π	\vdots	\vdots		π	\vdots
\vdots	\vdots	$\bar{\pi}$	$\bar{\pi}$		\vdots	$\bar{\pi}$
\vdots	\vdots	b	b		\vdots	a
π	π	a	a	...	π	b

$\Rightarrow (p')^{n'}$ such profiles

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1	2	3	4	...	$n - 1$	n
a	a	$\bar{\pi}$	$\bar{\pi}$...	a	$\bar{\pi}$
b	b	\vdots	\vdots		b	\vdots
π	π	\vdots	\vdots		π	\vdots
\vdots	\vdots	$\bar{\pi}$	$\bar{\pi}$		\vdots	$\bar{\pi}$
\vdots	\vdots	b	b		\vdots	a
π	π	a	a	...	π	b

$\Rightarrow (p')^{n'}$ such profiles

1. Does a wins in any such profile?

Observe that a is 1 point ahead of any other candidate (thanks to voter n)

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1	2	3	4	...	$n - 1$	n	
a	a	$\bar{\pi}$	$\bar{\pi}$...	a	$\bar{\pi}$	
	b	\vdots	\vdots		b	\vdots	
	π	π	\vdots	\vdots	π	\vdots	
	\vdots	\vdots	$\bar{\pi}$	$\bar{\pi}$	\vdots	$\bar{\pi}$	
	\vdots	\vdots	b	b	\vdots	a	
	π	π	a	a	...	π	b

$\Rightarrow (p')^{n'}$ such profiles

1. Does a wins in any such profile?

Observe that a is 1 point ahead of any other candidate (thanks to voter n)

2. Is it fooling?

Take two profiles P_1 and P_2 , for at least one voter $i \in \{1, \dots, n'\}$ the vote differs. Thus at least one candidate $c \notin \{a, b\}$ must be ranked higher in P_1 than P_2 . Mix profiles by picking votes $4i-3$ and $4i-2$ from P_1 and the rest from P_2 . Now c get 2 additional points and wins.

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Unknown number of missing voters: how to store the **current** profile?

1	2	3	...
<i>a</i>	<i>b</i>	<i>b</i>	
<i>b</i>	<i>c</i>	<i>a</i>	
<i>c</i>	<i>a</i>	<i>c</i>	



4	5
<i>c</i>	<i>a</i>
<i>a</i>	<i>b</i>
<i>b</i>	<i>c</i>



The winner is: x

Storage: compilation complexity

Compiling Profiles

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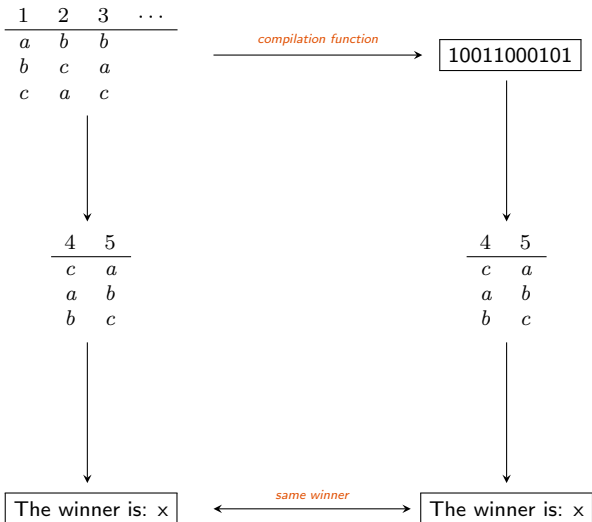
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Unknown number of missing voters: how to store the **current** profile?



- ▶ From the perspective of the device/entity aggregating the votes.
- ▶ We are after the best compilation functions for each voting rule.
- ▶ To start with, for any anonymous voting rule, compiling the profile into the corresponding **voting situation** is possible:

	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>		<u>2</u>	<u>1</u>	<u>1</u>	<u>1</u>
Profile:	<i>a</i>	<i>b</i>	<i>b</i>	<i>c</i>	<i>a</i>	Voting situation:	<i>a</i>	<i>b</i>	<i>b</i>	<i>c</i>
	<i>b</i>	<i>c</i>	<i>a</i>	<i>a</i>	<i>b</i>		<i>b</i>	<i>c</i>	<i>a</i>	<i>a</i>
	<i>c</i>	<i>a</i>	<i>c</i>	<i>b</i>	<i>c</i>		<i>c</i>	<i>a</i>	<i>c</i>	<i>b</i>

Hence the compilation requires at most $\min(n \log p!, p! \log n)$.

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	1	2	3	4	5		2	1	1	1
Profile:	a	b	b	c	a	Voting situation:	a	b	b	c
	b	c	a	a	b		b	c	a	a
	c	a	c	b	c		c	a	c	b

Hence the compilation requires at most $\min(n \log p!, p! \log n)$.

- ▶ Very efficient when $n \gg p$. Eg. $n = 4703$ and $p = 4$ we get $\min(4703 \log 24, 24 \log 4703)$ so 312 bits vs. 23515 bits.

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UPMCEPCL-BTC: 18th of
November 2013

Motivation

Communication
Complexity

Voting

Two-sided
matchingDistributed
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- ▶ Intuitively, for specific voting rules one can get much better compilations, eg. for plurality just compile the score yields $p \log n$.
- ▶ But, again, these are upper bounds...
- ▶ In fact, the problem can be seen as a “one-round” communication complexity problem (the center must send the relevant information in one single message)

- ▶ two profiles are **equivalent** for a voting rule if they return the same winner for any possible completion.
- ▶ the key is to **characterize** equivalence classes for each rules, and **enumerate** them (not always easy...).
- ▶ the **compilation complexity** is given by taking the log of this number (since we need to identify them).

Voting rule	Characterization of equiv.	Compilation complexity
Any voting rule	same profiles	$O(np \log p)$
Anonymous	same voting situations	$O(p! \log n)$
STV	for all $Z \subseteq C$ and $x \notin Z$, $score_{PI}(x, P^{-Z}) = score_{PI}(x, Q^{-Z})$	$\Omega(2^p \log n)$ $O(p2^p \log n)$
Plurality/runoff	$\mathcal{M}_P = \mathcal{M}_Q$ and $score_{PI}(x, P) = score_{PI}(x, Q)$	$\Theta(p^2 \log n)$
Cond. WMG	$\mathcal{M}_P = \mathcal{M}_Q$	$O(p^2 \log n)$
Borda	$score_B(x, P) = score_B(x, Q)$	$\Theta(p \log np)$
Plurality	$score_{PI}(x, P) = score_{PI}(x, Q)$	$\Theta(p \log(1 + \frac{n}{p}) + n \log(1 + \frac{p}{n}))$

Chevaleyre et al. *Compiling the votes of a subelectorate*. IJCAI, 2009.

Xia & Conitzer. *Compilation Complexity of Common Voting Rules*. AAAI, 2010.

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We make use of **canonical women's preferences** (same for all women)

$$\begin{array}{ll} m_1 : & w_1 \succ w_2 \succ w_3 & w_1 : & m_1 \succ m_2 \succ m_3 \\ m_2 : & w_3 \succ w_2 \succ w_1 & w_2 : & m_1 \succ m_2 \succ m_3 \\ m_3 : & w_1 \succ w_3 \succ w_2 & w_3 : & m_1 \succ m_2 \succ m_3 \end{array}$$

- ▶ Observe that instances with canonical women's preferences admits a single matching
- ▶ in this unique matching, man w_i is matched to his preferred woman among the ones not matched with men $1, \dots, i - 1$.

Now suppose we query the men's preferences lists.

A query is of the form: "man i , who is your k th preferred woman?"

The **adversary** has the following strategy:

- ▶ for any man's preference i , answers the $k < i$ queries by answering that woman w_k is in that cell;
- ▶ after $i - 1$ queries, adversary marks (\uparrow) the leftmost unqueried cell, and reserve it for w_i .
- ▶ when $k \geq i$: if cell \uparrow is queried, answer w_i , otherwise answer with a non yet affected w_j for man m_i .

m_1 :	. γ . γ . γ .	w_1 :	$m_1 \succ m_2 \succ m_3 \succ w_3$
m_2 :	. γ . γ . γ .	w_2 :	$m_1 \succ m_2 \succ m_3 \succ w_3$
m_3 :	. γ . γ . γ .	w_3 :	$m_1 \succ m_2 \succ m_3 \succ w_3$
m_4 :	. γ . γ . γ .	w_4 :	$m_1 \succ m_2 \succ m_3 \succ w_3$

$$\begin{array}{ll}
 m_1 : & w_1 \succ w_2 \succ w_4 \succ w_3 & w_1 : & m_1 \succ m_2 \succ m_3 \succ w_3 \\
 m_2 : & w_2 \succ w_4 \succ w_3 \succ w_1 & w_2 : & m_1 \succ m_2 \succ m_3 \succ w_3 \\
 m_3 : & w_1 \succ w_3 \succ w_4 \succ w_2 & w_3 : & m_1 \succ m_2 \succ m_3 \succ w_3 \\
 m_4 : & w_1 \succ w_2 \succ w_3 \succ w_4 & w_4 : & m_1 \succ m_2 \succ m_3 \succ w_3
 \end{array}$$

- Observe that doing so, the adversary makes sure that for man m_i , all women on the left (=preferred) have a lower index
- ... but then they must be matched with other men, ie. woman w_i is the first unmatched for m_i
- the matching $(m_1, w_1), \dots, (m_n, w_n)$ must be the unique matching

Now suppose for a man m_i we query less than i queries. By the strategy, there must exist an unqueried cell on or before the diagonal. By putting $z > i$ in that cell, the adversary prevents w_i from being matched to m_i (w_z will be instead). The matching would be different.

Hence $1 + 2 + \dots + n = \frac{n \times (n+1)}{2}$ queries are required.

We mentioned **uncoordinated two-sided markets**.
How about the length of the process?

- ▶ we mentioned the existence of a path to stability (under better responses)—even better: there is a path of polynomial length
- ▶ ... but Knuth also showed that convergence is not guaranteed w/o coordination (cycles can occur)
- ▶ if blocking pairs are selected uniformly at random, the probability of convergence is 1
- ▶ ... however, there are instances where the expected length of the process is exponential



probabilistic model checking used to study such stochastic systems

Knuth. *Mariages stables et leurs relations avec d'autres problèmes combinatoires*. .

Ackermann et al.. *Uncoordinated Two-sided Matching Markets*. EC-07.

Biro & Norman. *Analysis of Stochastic Matching Markets*. IJGT-2013.

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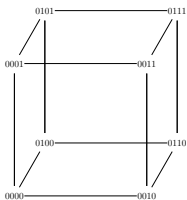
In distributed resource allocation it is often relevant to study the communication complexity in terms of the number of deals required to reach an outcome.

- ▶ there are $|\mathcal{N}|^{|\mathcal{O}|}$ allocations
- ▶ as it is possible to construct scenarios going through all the allocations, it is an upper bound
- ▶ without any restriction on the deal complexity, a path of length 1 is always possible
- ▶ with one-resource-at-a-time deals in additive domains, the path length is between $|\mathcal{O}|$ and $|\mathcal{O}| \times (|\mathcal{N}| - 1)$

Endriss & Maudet. *Communication Complexity of Multilateral Trading*. JAAMAS05.

Suppose we only consider deals consisting of moving **one-resource at-a-time** without domain restriction.

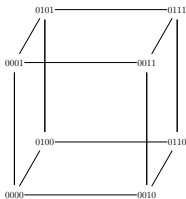
Can we find lower bounds on the path length?



Related to the problem of finding a sequence of moves in a hypercube such, for any state s_i , any other state $s_{\geq i+2}$ in this sequence has a Hamming distance ≥ 2 with s_i (so that no “shortcuts” are possible)

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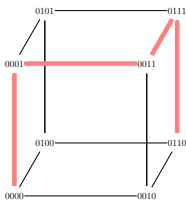


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Can we construct a negotiation instance like these snake-in-the-box sequences? Let $\alpha = \alpha_1, \alpha_2, \dots, \alpha_n$ be such a sequence.

Now consider two agents, and fix their utilities such that

$$u_1(B) + u_2(\overline{B}) = k \text{ if } B = \alpha_k \text{ (and 0 otherwise)}$$

Hence α is the unique sequence of 1-deals from α_1 to α_n , because:

- ▶ no shortcut from α_i to $\alpha_{j>i+1}$
- ▶ in $A_i = \alpha_i$, no other allocation is IR except $A_{i+1} = \alpha_{i+1}$

Example $m = 4$, and $\alpha = 0000|1000|1010|1110|0110|0111|0101|1101$

	B	\overline{B}	u_1	u_2
α_1	0000	1111	1	0
	0001	1110	0	0
	0010	1101	0	0
	0011	1100	0	0
	0100	1011	0	0
α_7	0101	1010	7	0
α_5	0110	1001	5	0
α_6	0111	1000	6	0
\vdots	\vdots	\vdots	\vdots	\vdots



Consider the following situation:

There are seven cards $\{0, \dots, 6\}$, and three agents A, B and C . A and B gets three cards, while C gets one. How, via public announcements, can A and B get to know each other cards, without C knowing anything?

Can we design efficient protocols to achieve this goal?

- ▶ here we need to model the knowledge of the agents
- ▶ epistemic logic tools are appropriate here
- ▶ logics of public announcements model updates in Kripke structures

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(...)

- ▶ there are proofs that this can be done in two announcements
- ▶ there is a proof that this cannot be done in less

H. van Ditmarsch. *The russian cards problem*. Studia Logica.

A. Cyriac & K. Krishnan. *Lower bound for the communication complexity of the russian cards problem*. ArXiv-2008.



The “modulo 7” solution:

- ▶ A tells B the sum of his cards modulo 7 (m_A)
- ▶ B tells A the sum of his cards modulo 7 (m_B)
- ▶ but as $m_A + m_B + C$ must be 0 ($0 + 1 + \dots + 6$ modulo 7), A knows C (hence B), and vice versa.
- ▶ suppose C holds 6:
observe that a statement $m_A = 0$ is equivalent in his eyes as
 $025 \vee 034 \vee 124$
- ▶ and similarly for the others... so he can't guess any card