

Solving AI Planning Problems with SAT

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Reduction of AI Planning to SAT

Kautz and Selman 1992 [KS92]

- Solving the AI planning problem with SAT algorithms
- Novelty: planning earlier viewed as a deduction problem
- Idea:
 - propositional variables for every **state variable** for every time point
 - clauses that describe how state can change between two consecutive time points
 - unit clauses specifying the **initial state** and **goal states**
- Test material for local search algorithm GSAT [SLM92]
- Resulting SAT problems that could be solved had up to 1000 variables and 15000 clauses.

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- Planning one of the first “real” applications for SAT (others: graph-coloring, test pattern generation, ...)
- Later, same ideas applied to other reachability problems:
 - computer-aided verification (Bounded Model-Checking [BCCZ99])
 - DES diagnosability testing [RG07] and diagnosis [GARK07]
- SAT and related methods currently a leading approach to solving state space reachability problems in AI and other areas of CS.
- Overlooked connection: the encoding is very close to Cook’s reduction from P-time Turing machines to SAT in his proof of NP-hardness of SAT [Coo71].

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Classical (Deterministic, Sequential) Planning

~ succinct s-t-reachability problem for graphs

- states and actions expressed in terms of **state variables**
- **single initial state**, that is known
- all actions **deterministic**
- actions taken **sequentially**, one at a time
- a goal state (expressed as a formula) reached in the end

Deciding whether a plan exists is **PSPACE-complete**.

With a polynomial bound on plan length, **NP-complete**.

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A problem instance in (classical) planning consists of the following.

- set X of **state variables**
- set A of actions $\langle p, e \rangle$ where
 - p is the **precondition** (a set of literals over X)
 - e is the **effects** (a set of literals over X)
- initial state $I : X \rightarrow \{0, 1\}$ (a valuation of X)
- goals G (a set of literals over X)

The planning problem

An action $a = \langle p, e \rangle$ is applicable in state s iff $s \models p$.
The successor state $s' = \text{exec}_a(s)$ is defined by

- $s' \models e$
- $s(x) = s'(x)$ for all $x \in X$ that don't occur in e .

Problem

Find a_1, \dots, a_n such that
 $\text{exec}_{a_n}(\text{exec}_{a_{n-1}}(\dots \text{exec}_{a_2}(\text{exec}_{a_1}(I)) \dots)) \models G?$

Encoding of Actions as Formulas

for Sequential Plans

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Let $x@t$ be propositional variables for $t \in \{0, \dots, T\}$ and $x \in X$.

$a = \langle p, e \rangle$ is mapped to $E_a@t$ which is the conjunction of

- $l@t$ for all $l \in p$, and
- for all $x \in X$

$$x@(t+1) \leftrightarrow \top \text{ if } x \in e,$$

$$x@(t+1) \leftrightarrow \perp \text{ if } \neg x \in e, \text{ and}$$

$$x@(t+1) \leftrightarrow x@t \text{ otherwise.}$$

Choice between actions a_1, \dots, a_m expressed by the formula

$$\mathcal{R}@t = E_{a_1}@t \vee \dots \vee E_{a_m}@t.$$

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Kautz and Selman, ECAI'92

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Define

- $I@0$ as $\bigwedge(\{x@0|x \in X, I(x) = 0\} \cup \{\neg x@0|x \in X, I(x) = 1\})$,
and
- $G@T$ as $\bigwedge_{l \in G} l@T$

Theorem

A plan of length T exists iff

$$\Phi_T = I@0 \wedge \bigwedge_{t=0}^{T-1} \mathcal{R}@t \wedge G@T$$

is satisfiable.

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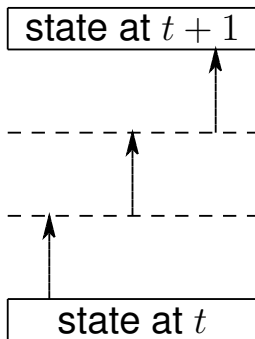
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Parallel Plans: Motivation

- Don't represent all **intermediate states** of a sequential plan.
- Ignore **relative ordering** of consecutive actions.
- Reduced number of explicitly represented states \Rightarrow smaller formulas \Rightarrow easier to solve



Parallel plans (\forall -step plans)

Kautz and Selman 1996

Allow actions $a_1 = \langle p_1, e_1 \rangle$ and $a_2 = \langle p_2, e_2 \rangle$ in parallel whenever they don't **interfere**, i.e.

- both $p_1 \cup p_2$ and $e_1 \cup e_2$ are consistent, and
- both $e_1 \cup p_2$ and $e_2 \cup p_1$ are consistent.

Theorem

If $a_1 = \langle p_1, e_1 \rangle$ and $a_2 = \langle p_2, e_2 \rangle$ don't interfere and s is a state such that $s \models p_1$ and $s \models p_2$, then
$$\text{exec}_{a_1}(\text{exec}_{a_2}(s)) = \text{exec}_{a_2}(\text{exec}_{a_1}(s)).$$

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\forall -step plans: encoding

Define $\mathcal{R}^\forall @t$ as the conjunction of

$$x@(t+1) \leftrightarrow ((x@t \wedge \neg a_1@t \wedge \dots \wedge \neg a_k@t) \vee a'_1@t \vee \dots \vee a'_{k'}@t)$$

for all $x \in X$, where a_1, \dots, a_k are all actions making x false, and $a'_1, \dots, a'_{k'}$ are all actions making x true, and

$$a@t \rightarrow l@t \text{ for all } l \text{ in the precondition of } a,$$

and

$$\neg(a@t \wedge a'@t) \text{ for all } a \text{ and } a' \text{ that interfere.}$$

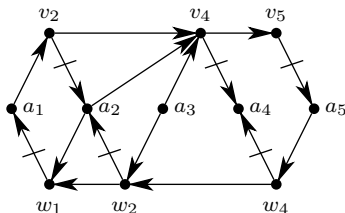
This encoding is **quadratic** due to the interference clauses.

\forall -step plans: linear encoding

Rintanen et al. 2006 [RHN06]

Action a with effect l **disables** all actions with precondition \bar{l} , **except** a itself.

This is done in two parts: disable actions **with higher index**,
disable actions **with lower index**.



This is needed for every literal.

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Allow actions $\{a_1, \dots, a_n\}$ in parallel if they can be executed in **at least one** order.

- $\bigcup_{i=1}^n p_i$ is consistent.
- $\bigcup_{i=1}^n e_i$ is consistent.
- There is a total ordering a_1, \dots, a_n such that $e_i \cup p_j$ is consistent whenever $i \leq j$: disabling an action earlier in the ordering is allowed.

Several compact encodings exist [RHN06].

Fewer time steps are needed than with \forall -step plans. Sometimes only half as many.

\exists -step plans: linear encoding

Rintanen et al. 2006 [RHN06]

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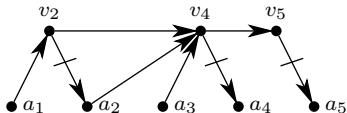
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Choose an **arbitrary fixed ordering** of all actions a_1, \dots, a_n .

Action a with effect l disables all **later** actions with precondition \bar{l} .



This is needed for every literal.

Disabling graphs

Rintanen et al. 2006 [RHN06]

Define a **disabling graph** with actions as nodes and with an arc from a_1 to a_2 if $p_1 \cup p_2$ and $e_1 \cup e_2$ are consistent and $e_1 \cup p_2$ is inconsistent.

The test for valid execution orderings can be limited to strongly connected components (SCC) of the disabling graph.

In many structured problems all SCCs are singleton sets.

⇒ No tests for validity of orderings needed during SAT solving.

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Scheduling the SAT Tests

The planning problem is reduced to SAT tests for

$$\Phi_0 = I@0 \wedge G@0$$

$$\Phi_1 = I@0 \wedge \mathcal{R}@0 \wedge G@1$$

$$\Phi_2 = I@0 \wedge \mathcal{R}@0 \wedge \mathcal{R}@1 \wedge G@2$$

$$\Phi_3 = I@0 \wedge \mathcal{R}@0 \wedge \mathcal{R}@1 \wedge \mathcal{R}@2 \wedge G@3$$

⋮

$$\Phi_u = I@0 \wedge \mathcal{R}@0 \wedge \mathcal{R}@1 \wedge \dots \wedge \mathcal{R}@(u-1) \wedge G@u$$

where u is the maximum possible plan length.

Q: How to schedule these tests?

How this is done has much more impact on planner performance than e.g. encoding details!

The sequential strategy

1 2 3 4 5 6 7 8 9 ...

- Complete satisfiability test for t before proceeding with $t + 1$.
- This is breadth-first search / iterative deepening.
- Guarantees minimality of horizon length.
- Slow.

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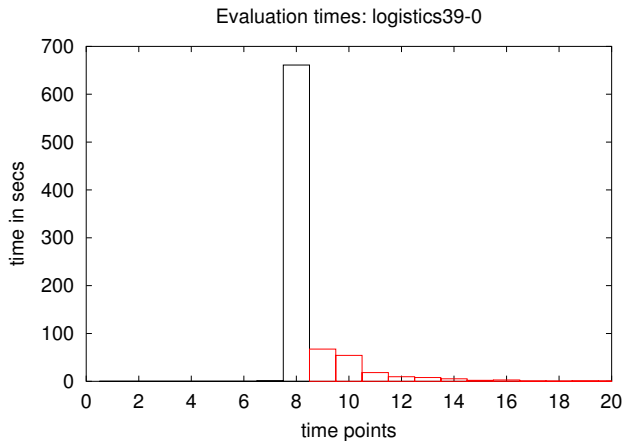
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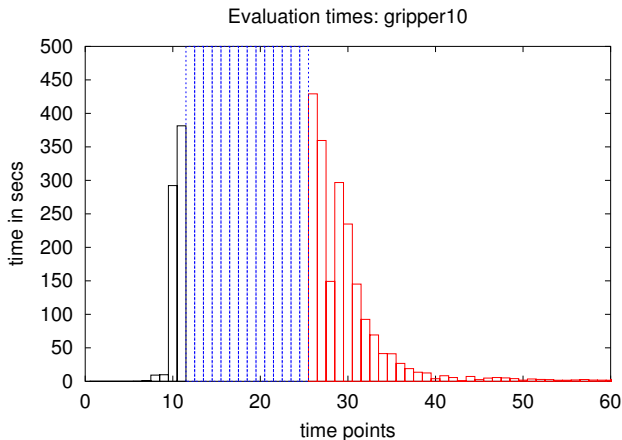
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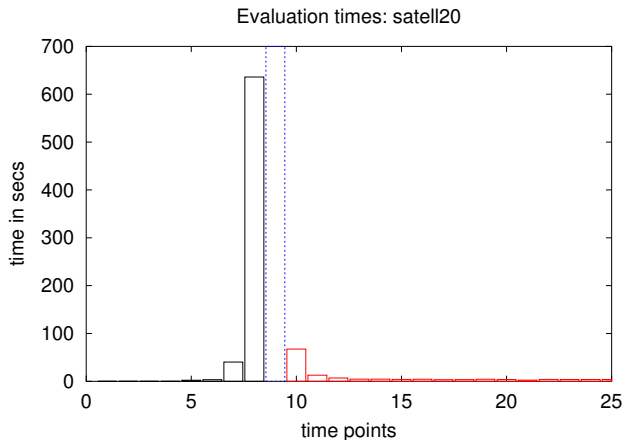
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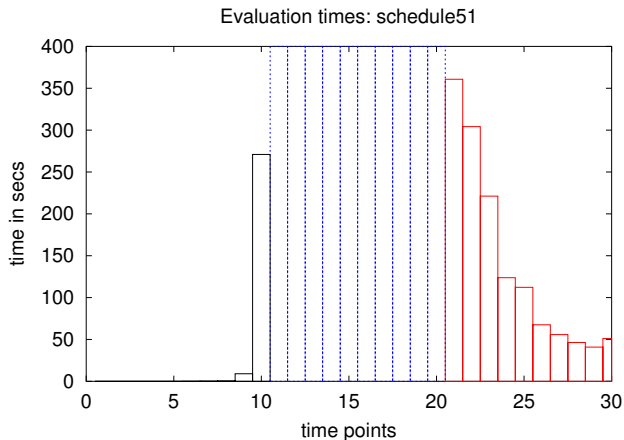
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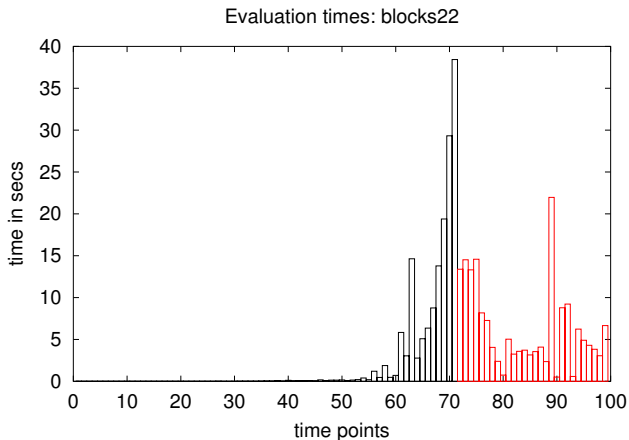
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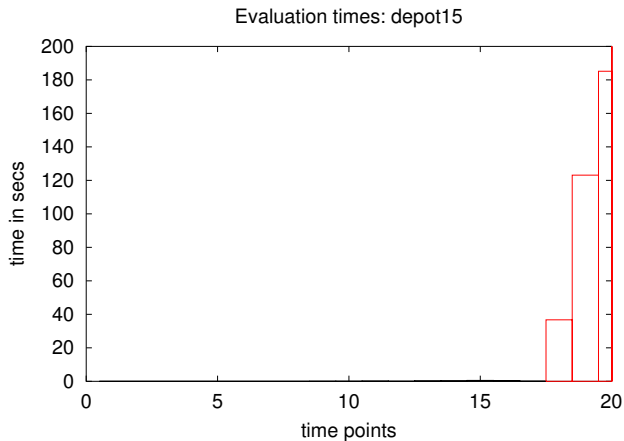
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n processes/threads

Algorithm A [Rin04b, Zar04]

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 ...

- Generalization of the previous: n simultaneous SAT processes; when process t finishes, start process $t + n$.
- Gets past hard UNSAT formulas if n high enough.
- Worst case: n times slower than the sequential strategy.
- Higher memory requirements.
- Skipping lengths is OK: 10 20 30 40 50 60 70 80 90 100 ...
- We have successfully used $n = 20$.

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n processes/threads

Algorithm A [Rin04b, Zar04]

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 ...

- Generalization of the previous: n simultaneous SAT processes; when process t finishes, start process $t + n$.
- Gets past hard UNSAT formulas if n high enough.
- Worst case: n times slower than the sequential strategy.
- Higher memory requirements.
- Skipping lengths is OK: 10 20 30 40 50 60 70 80 90 100 ...
- We have successfully used $n = 20$.

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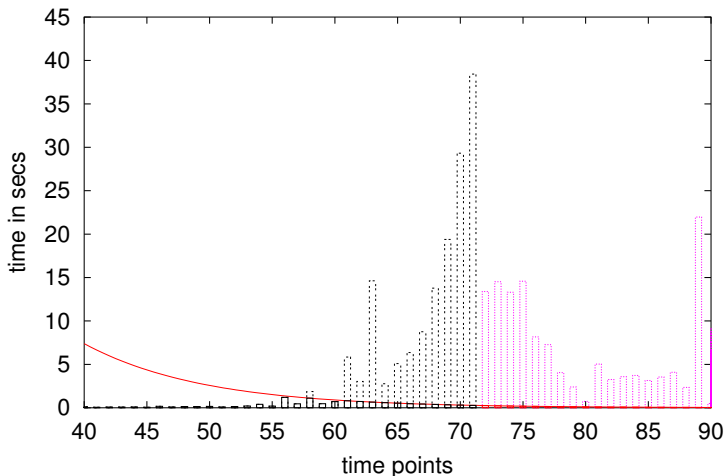
SAT solving at different rates

- With the previous algorithm, choosing n may be tricky: sometimes big difference e.g. between $n = 10$ and $n = 11$.
- Best to have a high n , but focus on the first SAT instances.
- \implies SAT solving at variable rates.

Geometric rates

Algorithm B [Rin04b]

Finding a plan for blocks22 with Algorithm B



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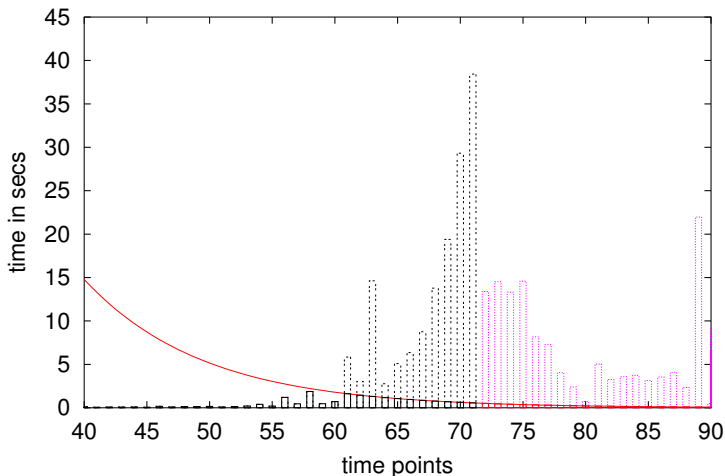
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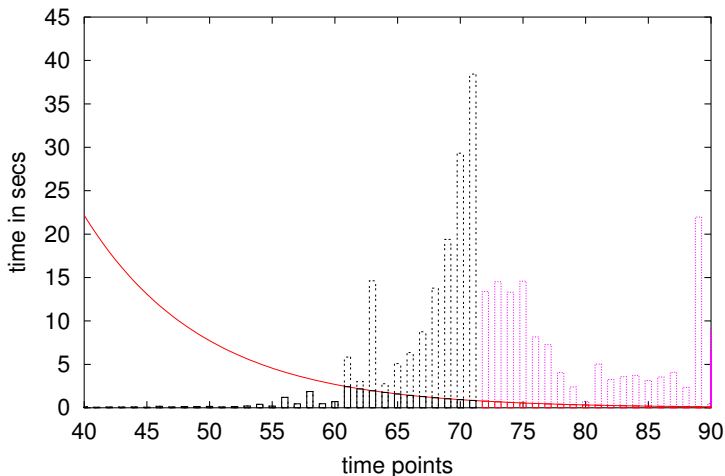
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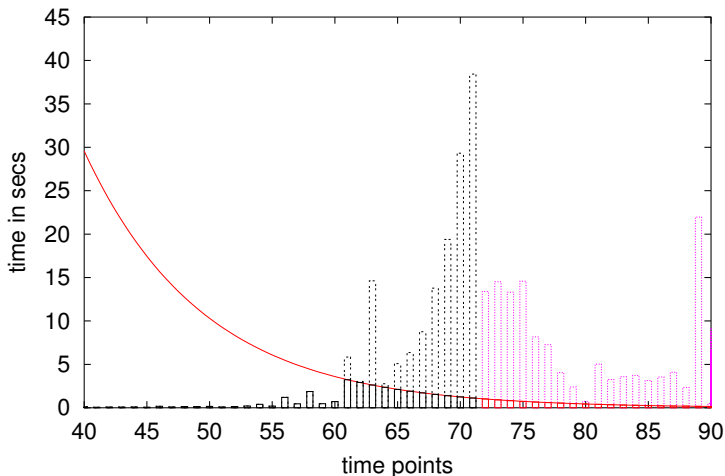
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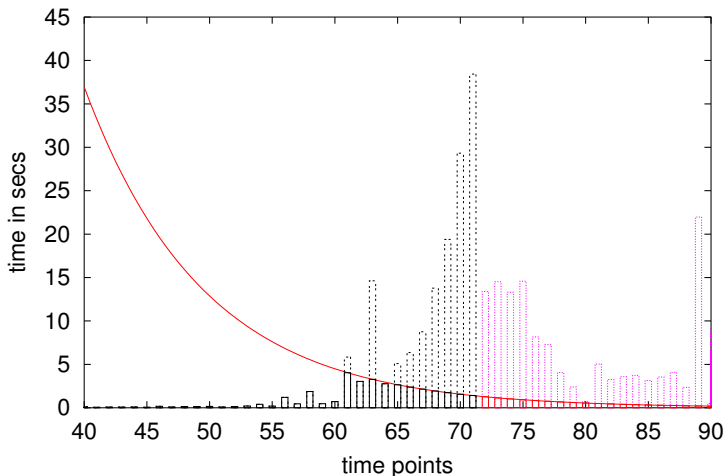
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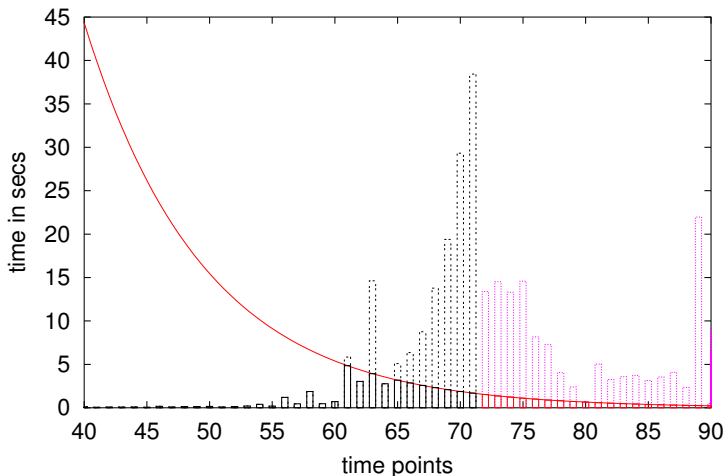
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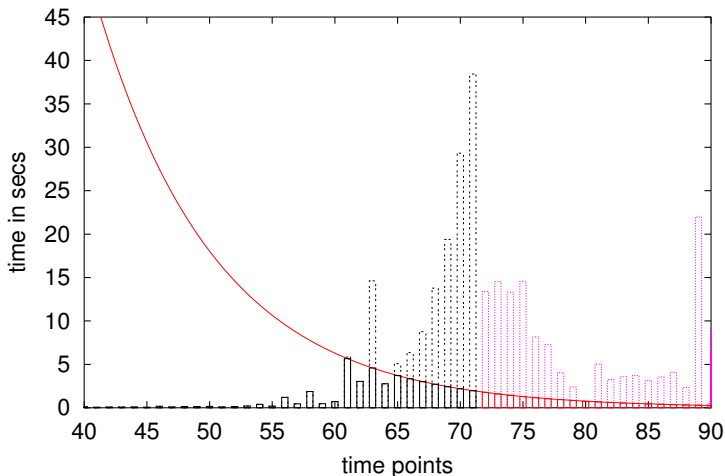
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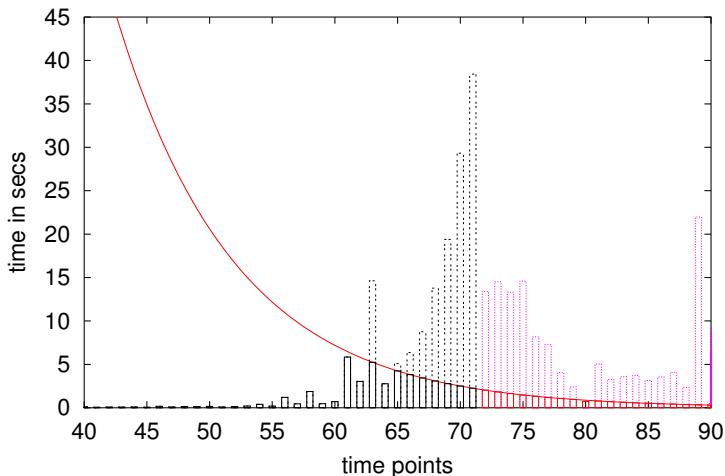
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Exponential length increase

- Previous strategies restrictive when plans are very long (200, 500, 1000 steps or more).
- Why not **exponential** steps to cover very long plans?
- Works surprisingly well! (...as long as you have enough memory...)
- Dozens of previously unsolved instances solved.
- Large slow-downs uncommon (but depends on SAT heuristics being used and type of problems).

1 2 4 8 16 32 64 128 256 512 1024 2048 4096 8192

Scheduling the SAT Tests: Summary

algorithm	reference	comment
sequential	[KS92, KS96]	slow, guarantees min. horizon
binary search	[SS07]	length upper bound needed
n processes	[Rin04b, Zar04]	fast, more memory needed
geometric	[Rin04b]	fast, more memory needed
exponential	Rintanen 2012	fast, still more memory needed

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General-purpose SAT solvers (RSAT, Precosat, Lingeling) work very well with

- short plans (< 10) with lots of actions in parallel, and
- small but hard problems.

Other problems more challenging for general-purpose solvers.

- long plans
- lots of actions and state variables

This is so especially when compared to planners that use explicit state-space search driven by heuristics [BG01, RW10].

Planning-specific heuristics

[Rin10, Rin11, Rin12]

- How to match the performance of explicit state-space search when solving **large but “easy”** problems?
- Planning-specific heuristics for SAT solving [Rin10]
- Observation: both I and G are needed for unsatisfiability. (“set of support” strategies)
- Idea: fill in “gaps” in the current partial plan.
- Force SAT solver to emulate **backward chaining**:
 - 1 Start from a top-level goal literal.
 - 2 Go to the **latest** preceding time where the literal is **false**.
 - 3 Choose an action to change the literal from **false to true**.
 - 4 Use the action variable as the CDCL decision variable.
 - 5 If such action there already, do the same with its preconditions.

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Planning-specific heuristic for CDCL

Case 1: goal/subgoal x has no support yet

Value of a state variable x at different time points:

	$t - 8$	$t - 7$	$t - 6$	$t - 5$	$t - 4$	$t - 3$	$t - 2$	$t - 1$	t
x	0	0	0		1		1	1	1
action 1	0	0	0			0	0	0	
action 2	0	0		0			0		
action 3	0	0	0	0		0	0		
action 4	0	0			0	0			

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action 1	0	0	0			0	0	0	
action 2	0	0		0			0		
action 3	0	0	0	0		0	0		
action 4	0	0			0	0			

Actions that can make x true.

Planning-specific heuristic for CDCL

Case 1: goal/subgoal x has no support yet

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x	0	0	0		1		1	1	1
action 1	0	0	0			0	0	0	
action 2	0	0		0			0		
action 3	0	0	0	0		0	0		
action 4	0	0			0	0			

Actions that can make x true at $t - 5$.

Planning-specific heuristic for CDCL

Case 1: goal/subgoal x has no support yet

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Value of a state variable x at different time points:

	$t - 8$	$t - 7$	$t - 6$	$t - 5$	$t - 4$	$t - 3$	$t - 2$	$t - 1$	t
x	0	0	0		1		1	1	1
action 1	0	0	0			0	0	0	
action 2	0	0		0			0		
action 3	0	0	0	0		0	0		
action 4	0	0			0	0			

Choose action 2 or 4 at $t - 6$ as the next CDCL decision variable.

Planning-specific heuristic for CDCL

Case 2: goal/subgoal x already has support

Goal/subgoal is already made true at $t - 4$ by action 4 at $t - 5$.

	$t - 8$	$t - 7$	$t - 6$	$t - 5$	$t - 4$	$t - 3$	$t - 2$	$t - 1$	t
x	0	0	0		1		1	1	1
action 1	0	0	0			0	0	0	
action 2	0	0		0			0		
action 3	0	0	0	0		0	0		
action 4	0	0		1	0	0			

Use **precondition literals** of action 4 as new subgoals at $t - 5$.

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Case 2: goal/subgoal x already has support

Goal/subgoal is already made true at $t - 4$ by action 4 at $t - 5$.

	$t - 8$	$t - 7$	$t - 6$	$t - 5$	$t - 4$	$t - 3$	$t - 2$	$t - 1$	t
x	0	0	0		1		1	1	1
action 1	0	0	0			0	0	0	
action 2	0	0		0			0		
action 3	0	0	0	0		0	0		
action 4	0	0		1	0	0			

Use **precondition literals** of action 4 as new subgoals at $t - 5$.

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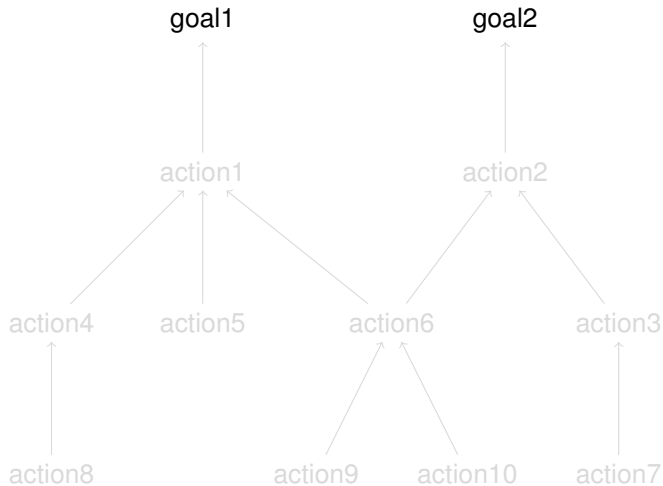
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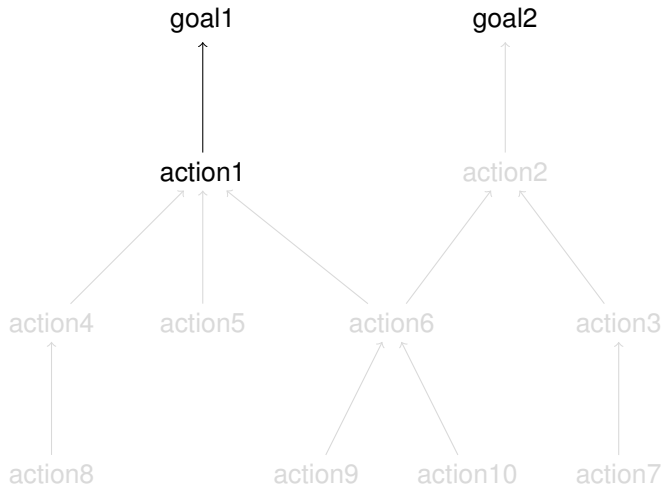
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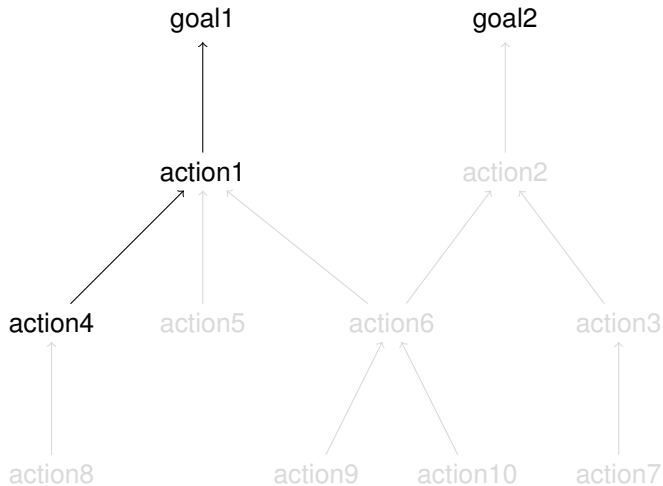
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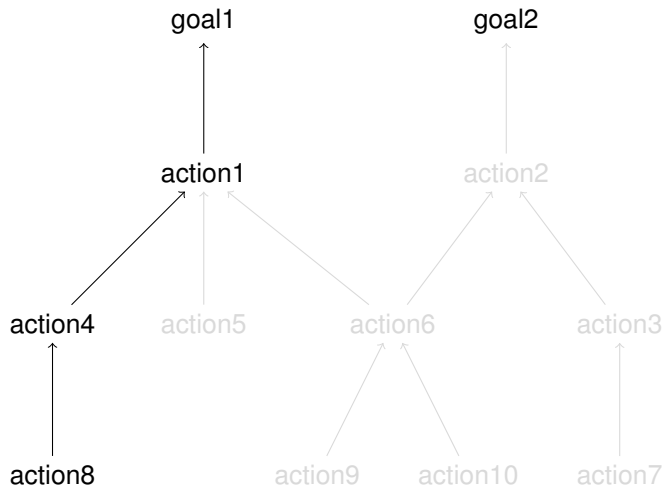
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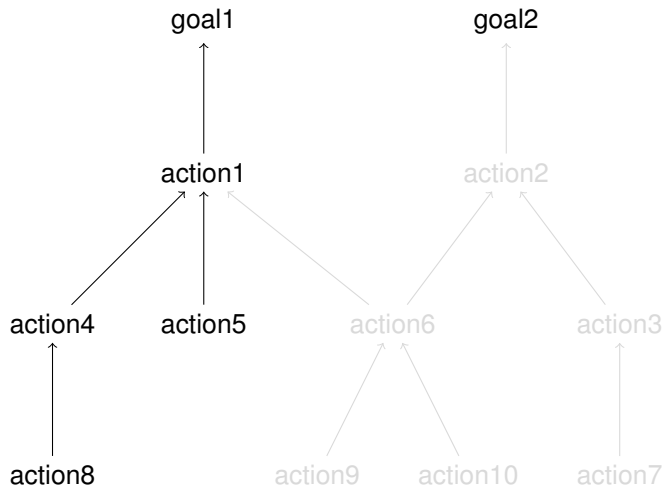
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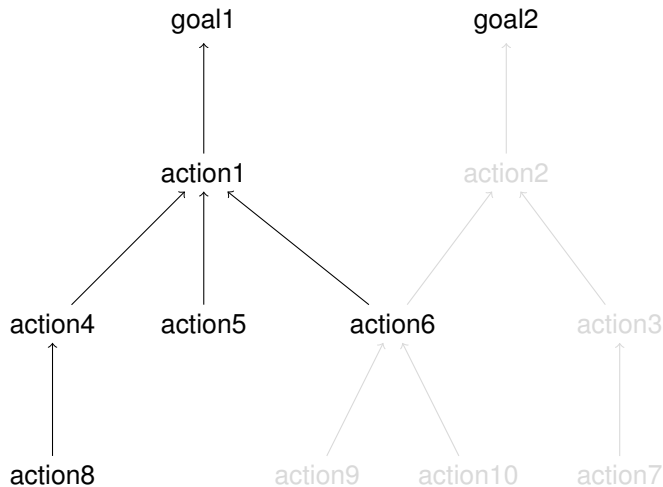
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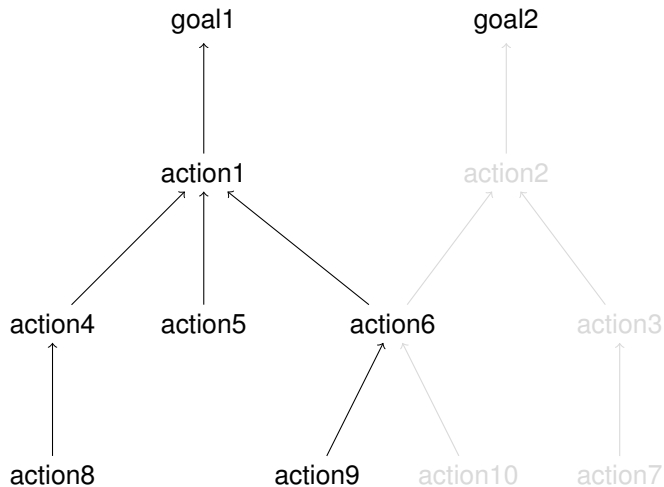
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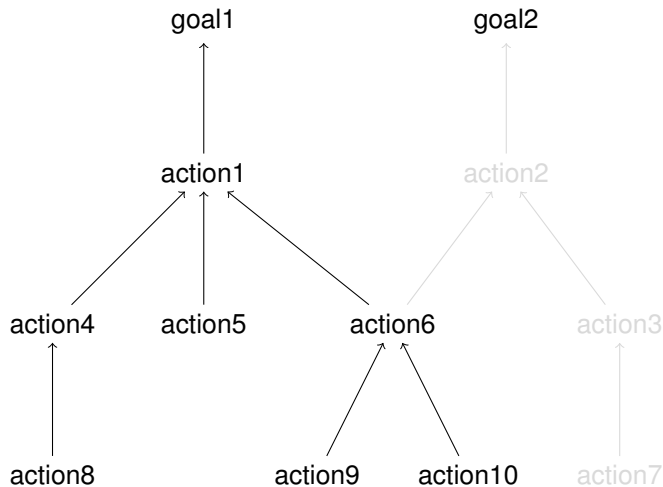
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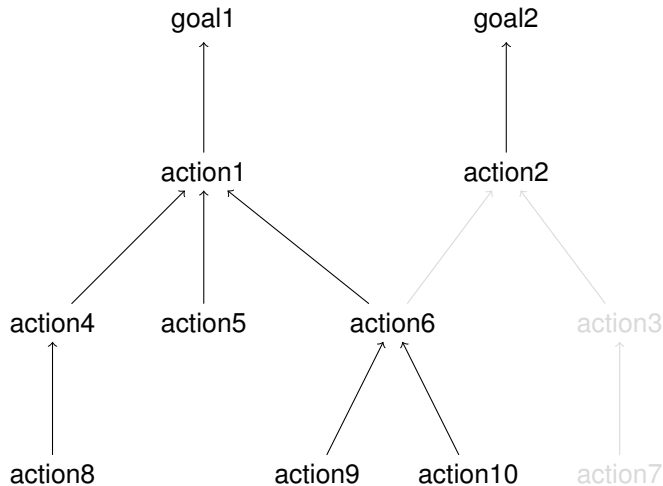
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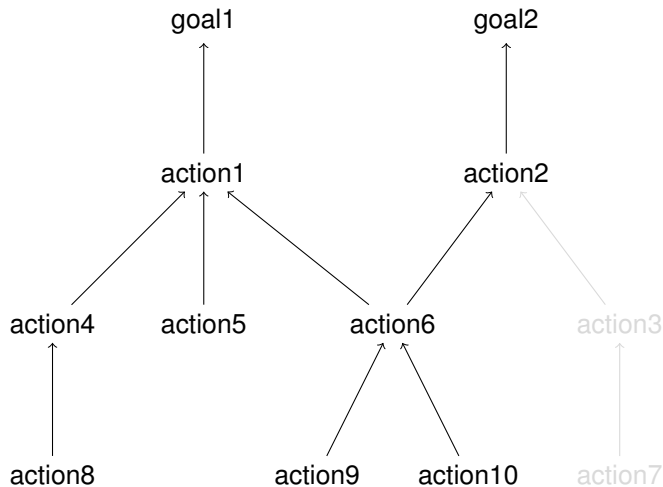
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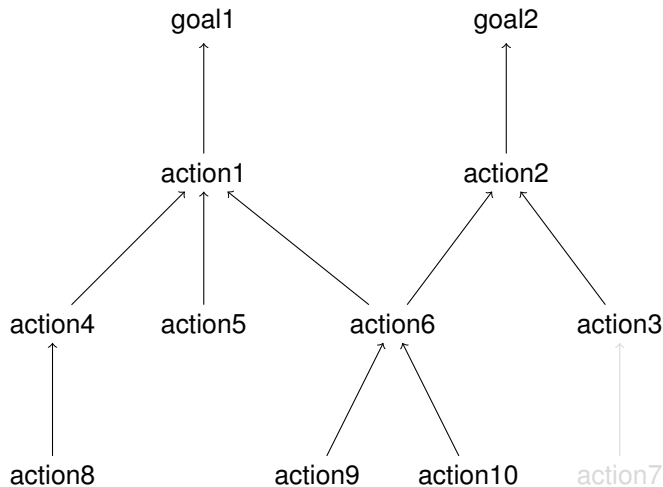
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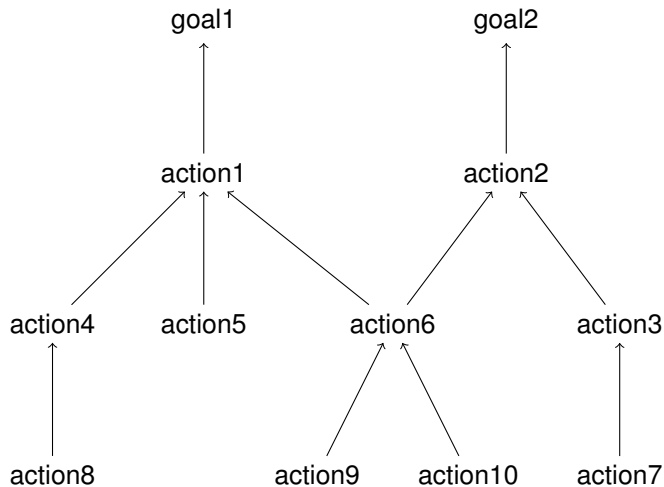
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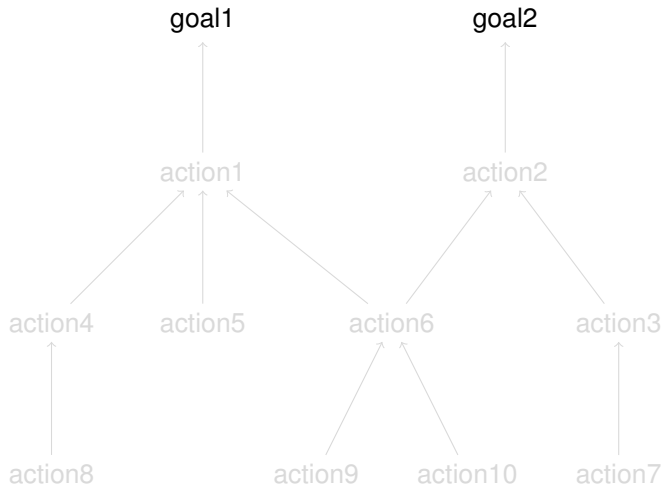
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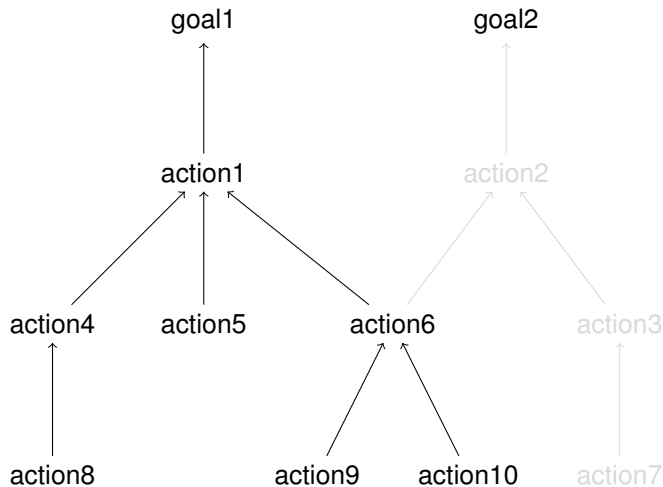
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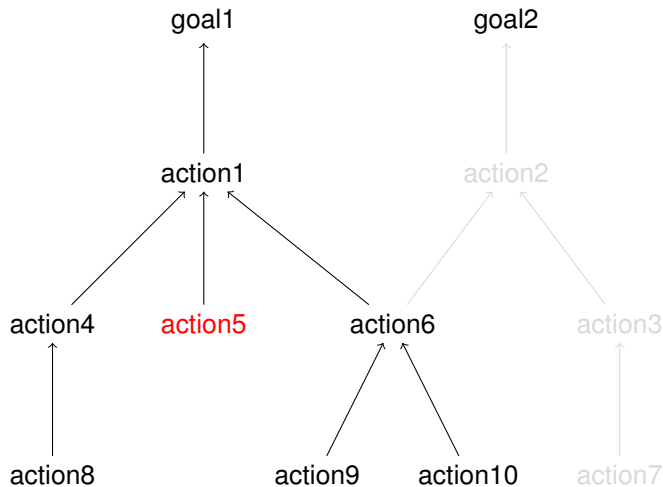
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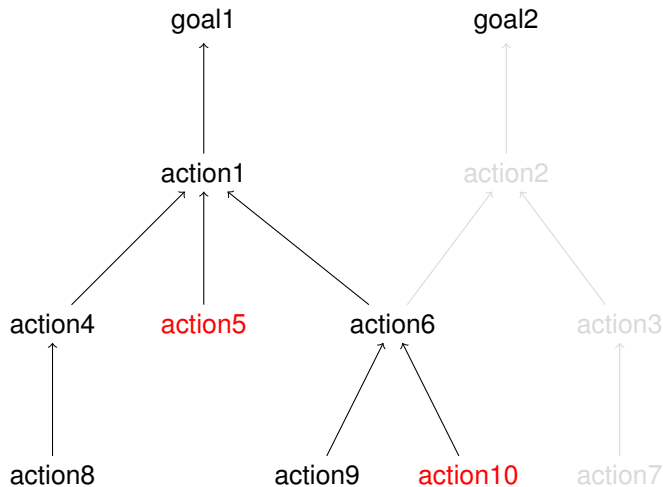
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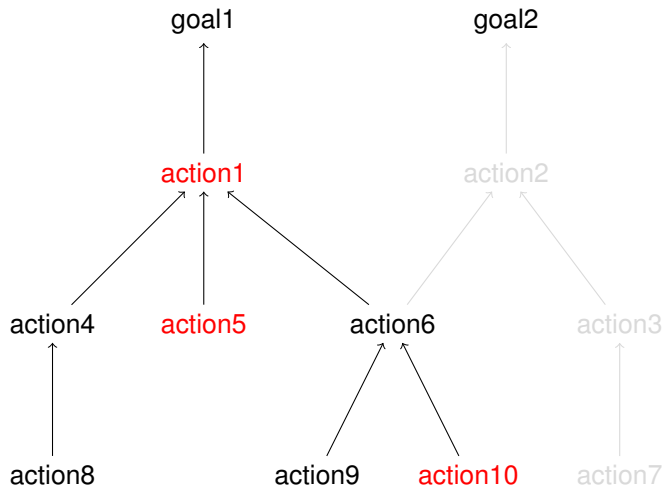
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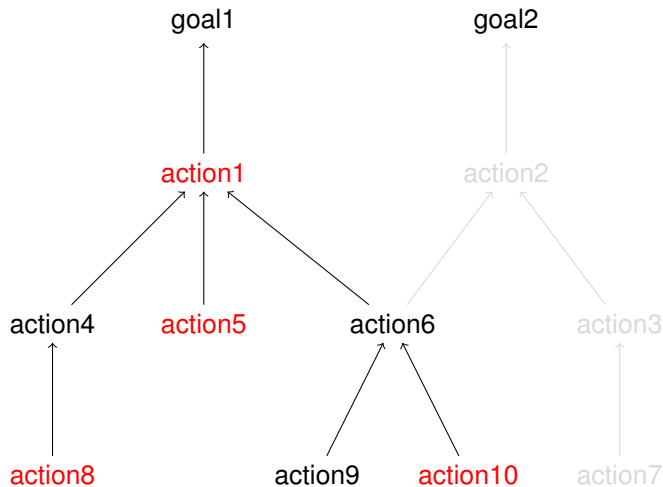
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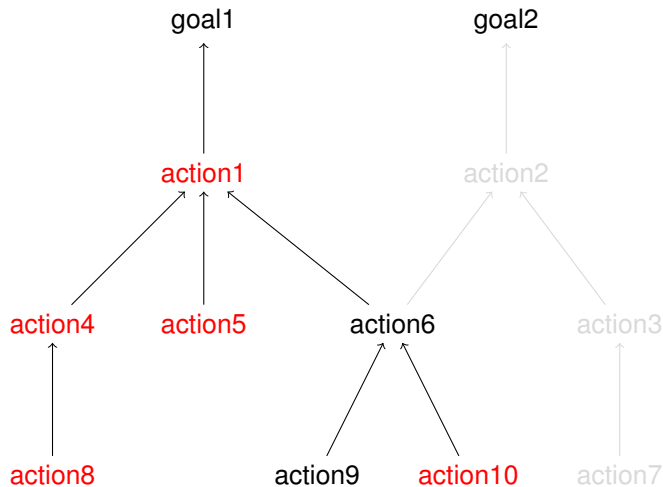
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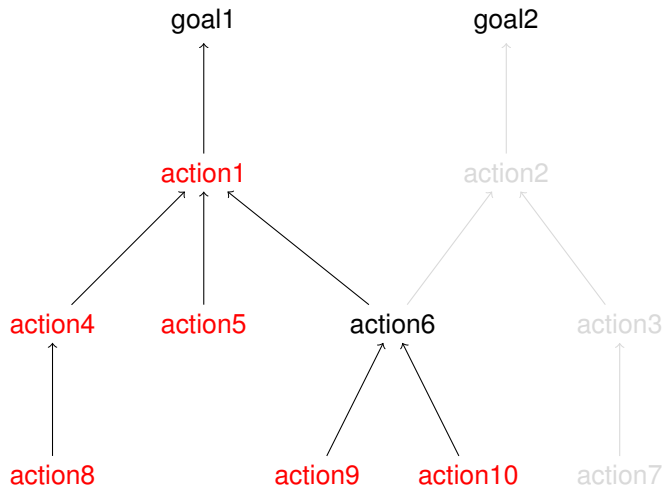
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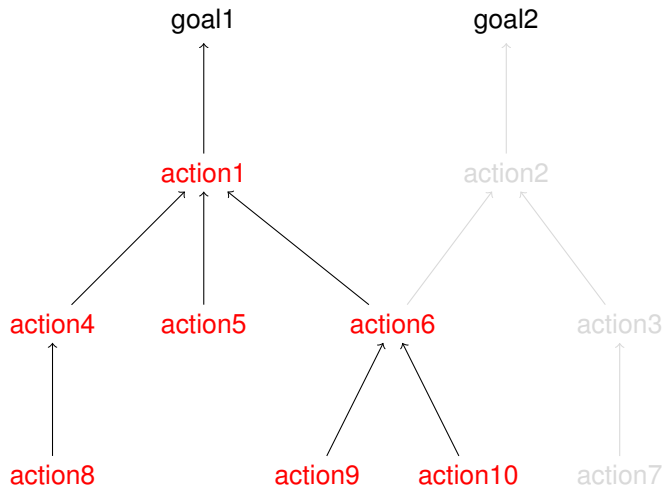
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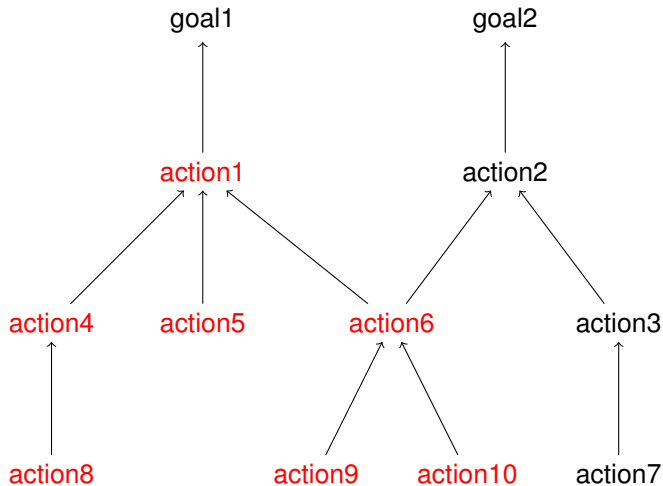
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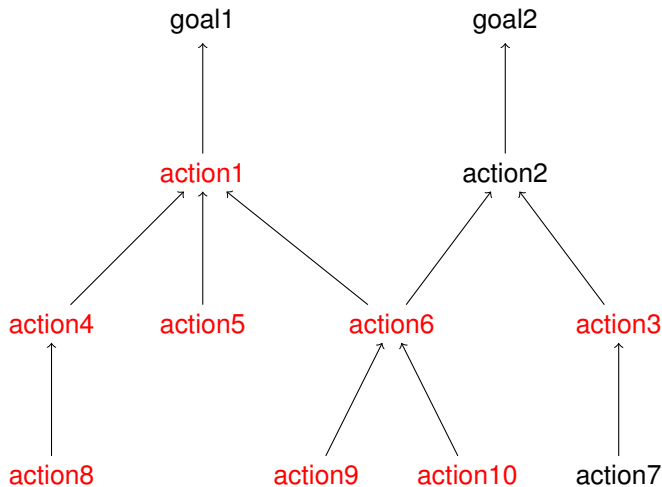
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Version 2: unidirectional action selection, with VSIDS-style weights



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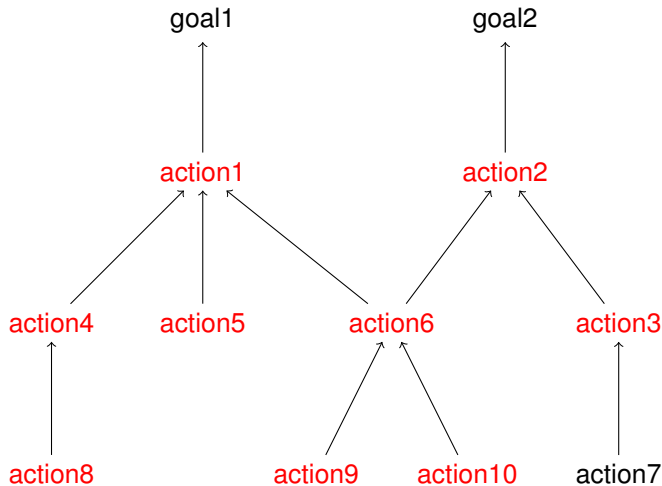
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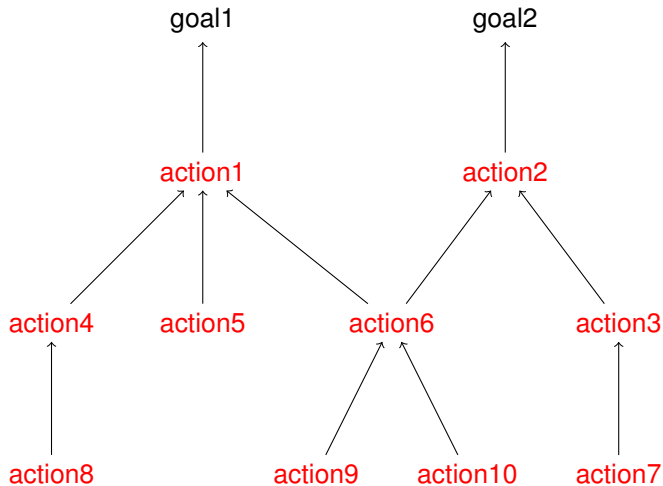
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Impact on planner performance

- Outperforms VSIDS with almost all benchmark problems the planning community is using.
- Worse than VSIDS with small, hard, combinatorial problems.
- Ganai [Gan10, Gan11] reports good performance of a different heuristic with partly similar flavor, for BMC.

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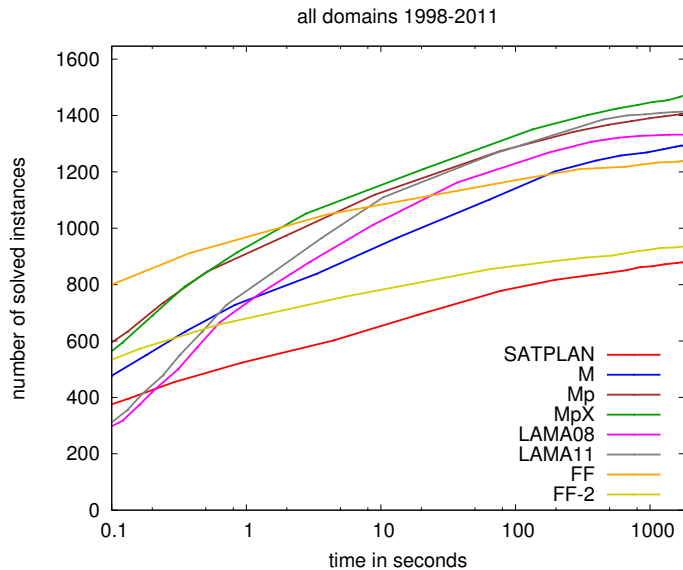
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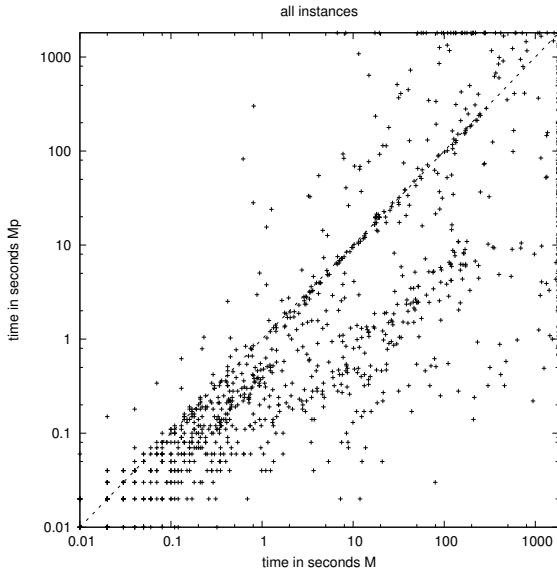
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Other problems

VSIDS et al. continue to be the best heuristic for SAT-based planning e.g. with

- hard combinatorial (e.g. graph) problems [PMB11], and
- hard (and easy) random problems [Rin04a].

Research goal: combine the strengths of both types of heuristics.

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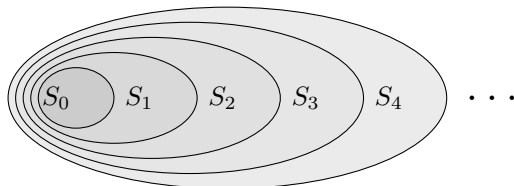
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Invariants

- **Invariants** represent **dependencies** between state variables.
- Dependencies arise naturally: representation of n -valued variables as Boolean values when $n > 2$.
- Dependencies are not always easy to detect manually.
- Dependencies can be **critical for the efficiency** search methods other than explicit state-space search, including SAT-based methods.
(Early SAT-based planners used hand-crafted invariants, later invariants extracted from *planning graphs* [BF97], and now specialized algorithms.)
- Need for fast **polynomial-time** algorithms for finding invariants.

Reachability and Invariants



- Inductive invariant algorithms compute a sequence of sets of formulas C_0, C_1, C_2, \dots which approximate the sequence S_0, S_1, S_2, \dots of sets of states that are reachable by taking $0, 1, 2, \dots$ actions.
- Each C_i **approximates from above** the set S_i .
- Level of approximation can typically be tuned by tuning the accuracy of **approximate SAT tests**.

Definition of Regression

Definition

Let ϕ be a goal (a set of literals) and $a = \langle p, e \rangle$ an action.
Regression of ϕ w.r.t. $a = \langle p, e \rangle$ is

$$\text{regr}_a(\phi) = \{l \in \phi \mid \bar{l} \notin e\} \cup p$$

This is the well-known backward chaining step: what has to be true before a is taken to guarantee that ϕ is true afterwards.

This operation can be generalized to arbitrarily complex actions, and the operation coincides with the **preimage** operation defined for arbitrary transition relations in the BDD context.

Theorem

For any action a and set ϕ

$$\{s \in S \mid s \models \text{regr}_a(\phi)\} = \{s \in S \mid \text{app}_a(s) \models \phi\}$$

where S is the set of all states.

The Algorithm

[BF97, Rin98, Rin08]

```
1: PROCEDURE invariants( $X, I, A, n$ );
2:  $C := \{x \in X \mid I \models x\} \cup \{\neg x \mid x \in X, I \not\models x\}$ ;
3: REPEAT
4:    $C' := C$ ;
5:   FOR EACH  $a \in A$  AND  $c \in C$  s.t.  $C' \cup \{reg_a(\neg c)\} \in \text{SAT}$  DO
6:      $C := C \setminus \{c\}$ ;
7:     IF  $|lits(c)| < n$  THEN
8:       BEGIN                                (* Add weaker clauses. *)
9:          $C := C \cup \{c \vee x \mid x \in X\} \cup \{c \vee \neg x \mid x \in X\}$ ;
10:      END
11:    END DO
12:  UNTIL  $C = C'$ ;
13: RETURN  $C$ ;
```

(Easy to plug in regression and preimage operations for more complex definitions of actions.)

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Conclusion

- Improvements in all components of SAT-based planners:
 - encodings (compact linear size, much faster)
 - solver scheduling (trade-off optimality vs. low runtimes)
 - SAT solver algorithms and implementations (CDCL, watched literals, ...)
 - SAT solver heuristics tuned for large and easy problems
- Generic SAT algorithms still a promising source of further progress.

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